

Complaint Data to be displayed by Portfolio Managers

Format for investor complaints data to be disclosed by Portfolio Managers on their website on monthly basis:

Data for the month ending : Janaury 2022

Sr. No.	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints > 3months	Average Resolution time^
1	Directly from Investors	0	1	0	1	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Other Sources (if any)	0	0	0	0	0	0
Grand Total							

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	April - 2021	0	0	0	0
2	May - 2021	0	0	0	0
3	June - 2021	0	1	1	0
4	July - 2021	0	0	0	0
5	August - 2021	0	0	0	0
6	September - 2021	0	0	0	0
7	October - 2021	0	0	0	0
8	November - 2021	0	1	1	0
9	December - 2021	0	0	0	0
10	January - 2022	0	1	0	1
11	February - 2022				
12	March - 2022				
Grand Total					

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received	Resolved**	Pending##
1	2017-18	0	0	0	0
2	2018-19	0	1	1	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22%	0	3	2	1
Grand Total					

** Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.

%Complaints covered from April 1, 2021 to January 31, 2022